

PRA Disclosure Statement

PRA Disclosure Statement - The 1115 PMDA application offers a source of high quality and timely data to improve the Center for Medicaid & CHIP Services (CMCS) ability to monitor demonstrations for the achievement of desired outcomes and projected cost savings. The states will upload and submit their budget neutrality workbook to CMCS via PMDA. Eventually PMDA will also be integrated into the Medicaid and CHIP Program (MACPro) System, which currently allows CMS and states to collaborate online to process State Plan Amendments (SPA), 1915 waivers, Quality Measures reports, advance planning documents, and other initiatives. The goal of the PMDA application is to: Collect programmatic quality and other performance metrics, related reports and other information associated with selected 1115 demonstrations; Validate and track performance-based incentive payments for 1115 demonstrations that include them; Provide electronic reports that support CMCS oversight, monitoring and evaluation of 1115 demonstration performance, particularly on quality and other performance metrics, and on related incentive payments (if any); Produce analytic files to support demonstration evaluation. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. The OMB control number for this project is 0938-1148 CMS-10398 #56. Public burden for all of the collection of information requirements under this control number is estimated to take about 7.5 hours per response. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 Security Boulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

Budget neutrality is a Federal policy that governs the Federal expenditures for Section 1115 demonstrations. It is assured by placing an upper limit on the amount of Federal Financial Participation (FFP) the state can receive during the demonstration. The upper limit represents what the state could have received in the absence of the Section 1115 demonstration.

The Budget Neutrality workbook will assist in collecting standardized data in order to determine financial performance for the demonstration in terms of budget neutrality.

The workbook has two major groups of tabs: the first group collects and calculates Without Waiver (WOW) numbers, and the second group calculates With Waiver (WW) numbers. Data is collected per each demonstration Medicaid Eligibility Group (MEG), by demonstration year (DY). A demonstration is considered budget neutral if the Federal title XIX match, or funding received by the state (i.e., "with waiver" actual expenditures), do not exceed what the state would have (or could have) received without the demonstration (i.e., "without waiver" projections). The workbook provides the ability to evaluate any variance between WW and WOW calculations for Total Computable, as well as Federal Share budget neutrality.

The workbook consists of 16 tabs which contain different types of data and calculations. The following color schema is applied to the tabs:

Blue	Information populated in the Budget Neutrality workbook template based on the demonstration's approved STC
Red	Information populated by states on a quarterly basis or per the reporting requirements defined in the STC
Green	Information automatically populated based on the input from other worksheets

Within the tabs where State populates information (C Report, Total Adjustments, WW Spending Projected, MemMon Actual, MemMon Projected, and Summary tabs), **Data Entry** yellow highlighted cells denote where data entry may be needed (depending on DY being updated).

Pre-populated values in the downloaded Budget Neutrality workbook template

The original workbook entries are based on the STCs and other demonstration approval documentation. These entries are made on the DY Def, MEG Def, WOW PMPM & Agg, Program Spending Limits, Composite Federal Share, and Summary TC tab.

The MEG Def tab defines MEGs as Medicaid populations (core demonstration populations) and Hypothetical populations (when a demonstration has separate budget neutrality agreements). The MEG Def tab also defines how expenditure numbers are calculated for a MEG (Per Capita vs. Aggregate) and the applicable scenarios (WOW, WW, or both). Also, the tab contains indicators defining MEG characteristics such as expenditure caps or applicability of savings phase-down calculations.

Calculating With Waiver (WW) expenditure amounts

WW numbers for each active DY of a demonstration are calculated based on a combination of actual WW expenditures, projected future expenditures, and any adjustments entered by a State User. The actual WW expenditures are copied from the Schedule C of the MBES CMS-64 report to the workbook (C Report tab). These numbers are automatically transferred to the C Report Grouper tab, where waiver expenditures are grouped by MEGs. The numbers are also transferred to the WW Spending Actual tab, which factors in any adjustments entered on the Total Adjustments tab, to calculate total actual WW expenditures. The WW Spending Total tab displays the actual WW expenditures plus future projected expenditures (transferred from the WW Spending Projected tab). Finally, WW actual and projected numbers are transferred to the With-Waiver Total Expenditures section of the Summary tab.

Calculating Without Waiver (WOW) expenditure amounts

WOW numbers can be obtained either one of two ways: using Aggregate or Per Capita calculations. If total projected expenditures for a MEG are known and the expenditure calculation type is defined as 'Aggregate' on the MEG Def tab, the total projected expenditure amount is entered for each active DY. However, if the expenditure calculation type is defined as 'Per Capita', total projected expenditures are derived by multiplying per member per month (PMPM) costs by the actual number of member months.

Both Aggregate and PMPM numbers are populated on the WOW PMPM & Agg tab. The number of actual member months (number of beneficiaries times the number of months enrolled) are entered by a State User on the MemMon Actual tab for each DY. On the MemMon Projected tab, a State User enters projected expenditures for future DYs. The totals for actual and projected member months are calculated on the MemMon Total tab. WOW aggregate, PMPM and member month data is then transferred to the the Without-Waiver Total Expenditures section of the Summary tab, where final calculations are performed.

Determining the Budget Neutrality status of a demonstration

On the Summary tab, WOW numbers are compared to WW numbers to determine the budget neutrality status of the demonstration. If WW totals does not exceed WOW total, the demonstration is considered to be budget neutral.

State may populate information on the following tabs:

C Report Tab

On top of the spreadsheet, enter data in the following highlighted cells:

'Data Pulled On:' - enter the date the Schedule C report was used to enter data on this tab was pulled

'For the Time Period Through:' - enter the date through which the Schedule C report was pulled

Reporting DY - enter the Demonstration Year (DY) for which data is being reported. Entered DY value must align with DYs from the DY Def tab.

Reporting Quarter - enter a number of the quarter (values 1 through 4) for which data is being reported.

Notes:

- Dates must be entered in the following format: mm/dd/yyyy

- Entry for each of these four fields listed above is required for the workbook submission. If any field is not populated, you will receive an error and the document will not be uploaded to PMDA.

To enter demonstration expenditures data, open Schedule C of the CMS 64 Expenditure Report. Under your state, locate expenditure data for the specific demonstration. Copy the entire section with expenditure data. On the C Report tab, paste the data into the correct cell/row, according to the instructions at the top of the spreadsheet. Repeat the copy and paste process for each MAP WaiversTotal Computable and Federal Share section and ADM Waivers sections (if applicable). Verify that the pasted numbers are correctly aligned with the Waiver Name values.

Total Adjustments tab

For each MEG, enter any applicable adjustments for the reporting DY. If needed, update previously entered adjustments.

Note: Any adjustments that reduce expenditures must be entered as negative numbers (for example, -\$10,000).

WW Spending Projected tab

For each MEG, enter projected expenditures for the remaining quarters of the current DY and future DYs until the end of POP of the demonstration. Do not include expenditures for the current and past reporting periods.

MemMonth Actual tab

For each MEG, calculate the actual number of member months for the reported quarter and add this number to the previously entered number for the same DY. For example, for Q3 reporting period, add Q3 member months to the existing number for the same MEG and DY and enter the result into the same cell.

MemMonth Projected tab

For each MEG, enter projected member months for all the remaining DYs until the end of the POP of the demonstration. For the current DY, enter only the number that reflects future reporting quarters. For example, for Q3 reporting, only enter the projected number for Q4. There should be no projected numbers entered for the past reporting periods. Adjust future DY member month projections as needed.

Summary tab

In the Net Variance section, for each DY, if applicable, enter '1115A Dual Demonstration Savings (state preliminary estimate)' and '1115A Dual Demonstration Savings (OACT certified)' numbers. Both numbers must be negative, as dual demonstration savings reduce the Net Variance amount.



QY
Save Date

[illegible]

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<u>Hypothetical 2 Per Capita</u>	N/A
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<u>Hypothetical 3 Per Capita</u>	N/A
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<u>Hypothetical 3 Aggregate</u>	N/A
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<u>Hypothetical 4 Per Capita</u>	N/A
	N/A

[illegible]

N/A

Hypothetical 7 Per Capita

[illegible]

Hypothetical 7 Aggregate

[illegible]

Hypothetical 8 Per Capita

[illegible]

Hypothetical 8 Aggregate

[illegible]

Hypothetical 9 Per Capita

[illegible]

Hypothetical 9 Aggregate

N/A
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Hypothetical 10 Per Capita

Hypothetical 10 Aggregate

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Please fill information related to the demonstration from Schedule C of the CMS-64 Master Signature Report.

1. On the Schedule C Report, locate rows relevant to all expenditures for a specific demonstration.
2. Complete two rounds of calculations starting from line 1 in column A (Initial Value):
 - **SPR** (Initial) / Total Computer section - cell A10
 - **SPR** (Initial) / Capital Structure section - cell A10
3. If **ADM** values are applicable to the demonstration, complete two more rounds of calculations starting from line 1 in column A (Initial Value):
 - **ADM** (Initial) / Total Computer section - cell A10
 - **ADM** (Initial) / Federal Share section - cell A10

[illegible]

1. Project Overview

2. Objectives

3. Scope

4. Stakeholders

5. Risks

6. Resources

7. Timeline

8. Budget

9. Communication

10. Monitoring & Evaluation

11. Reporting

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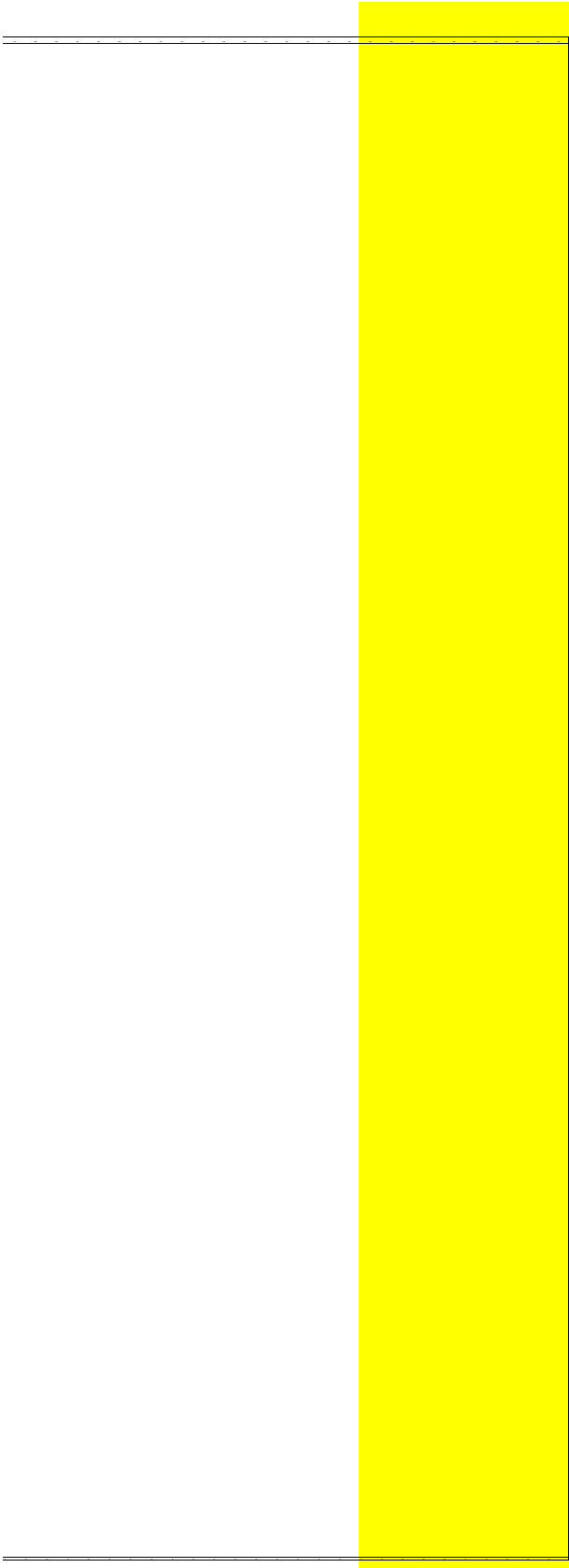
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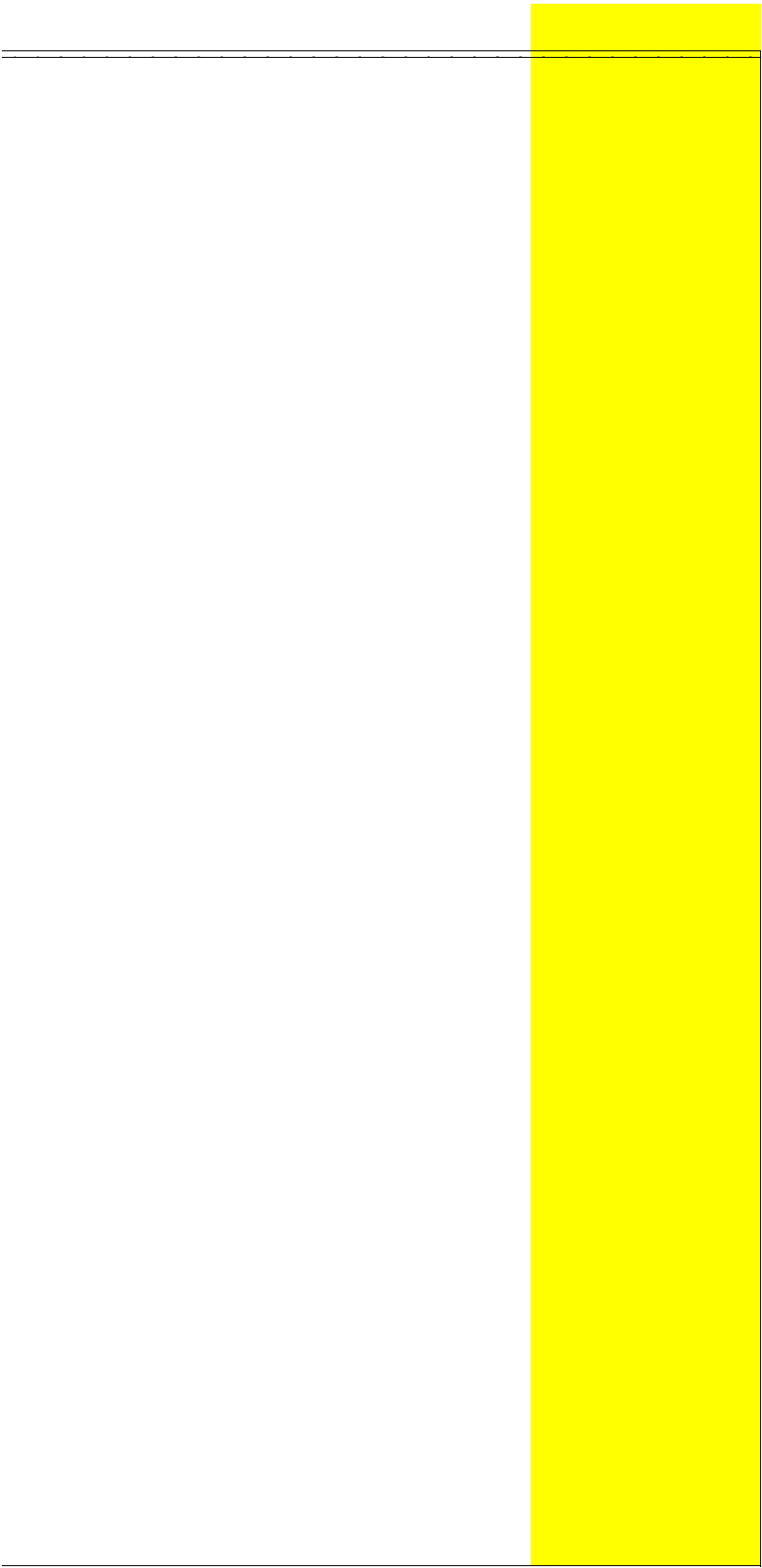
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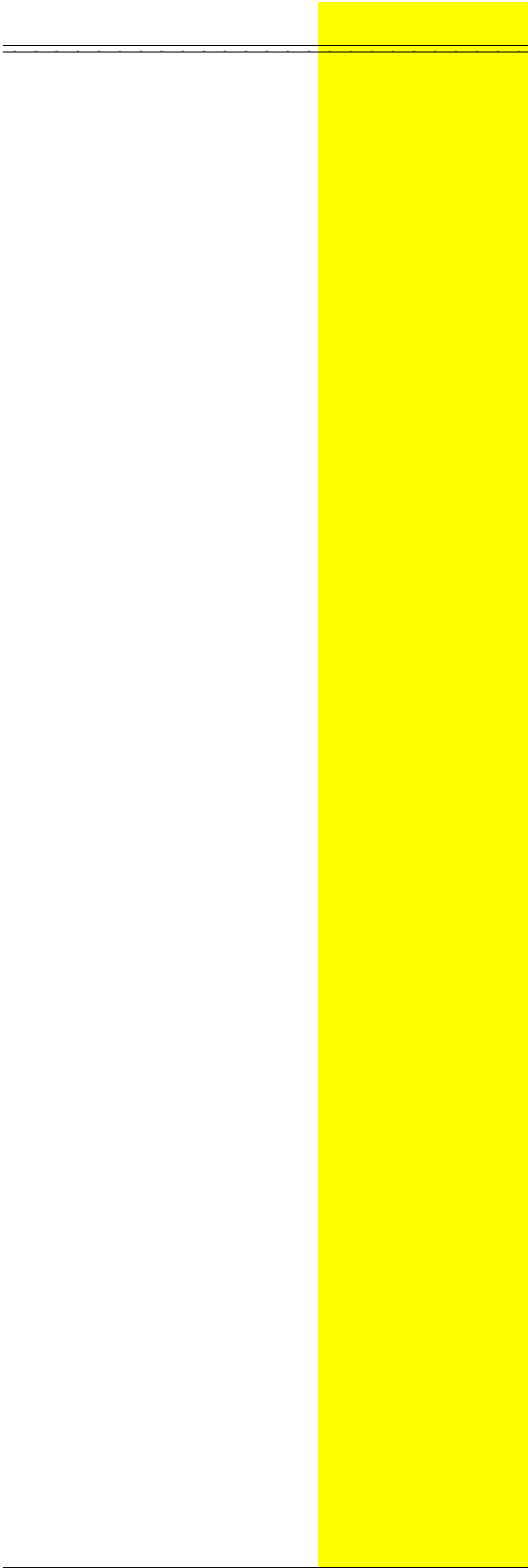
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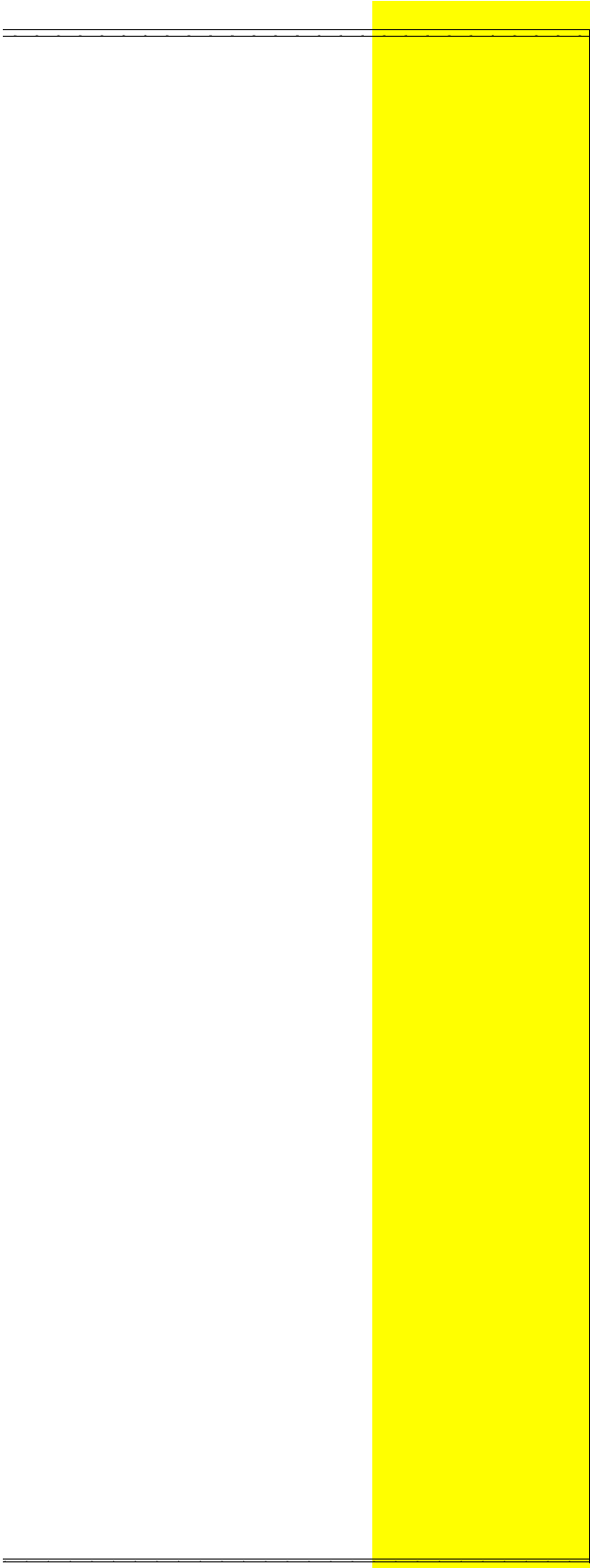




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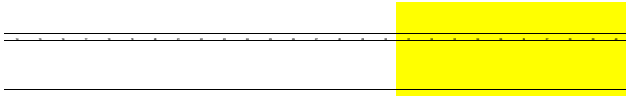
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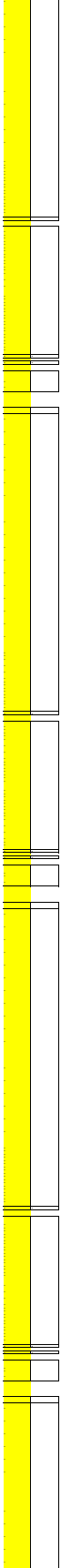


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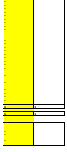
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Yes No

Yes

No

Waiver List**MAP WAIVERS**

Not Applicable

ADM WAIVERS

Admin

Demonstration POP Start DY**Demonstration POP End DY**

Per Capita or Aggregate

Per Capita

Aggregate

Phase-Down

No Phase-Down

Savings Phase-Down

Actuals and Projected

Actuals Only

Actuals + Projected

MAP ADM

MAP+ADM Waivers

MAP Waivers Only

Active or Inactive

Active

Inactive

TC or FS

Total Computable BN

Federal Funds BN

Included or Excluded

Included

Excluded

Capped Hypothetical

Carry-Forward Savings

Total Computable

Federal Share

Expenditure Authority Cap

Total Computable

Federal Share

Adjustments

Premium collection offset

Redistribute expenditures across MEGs

Other

Reporting Net Variance

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